

THE  
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# **Antibiotic stewardship in animal agriculture**

**Karin Hoelzer, DVM, Ph.D.**

**October 29, 2019**

## Overview: Topics of today's presentation

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- **What is antibiotic stewardship & why does it matter?**



- **The role of antibiotic alternatives in stewardship**

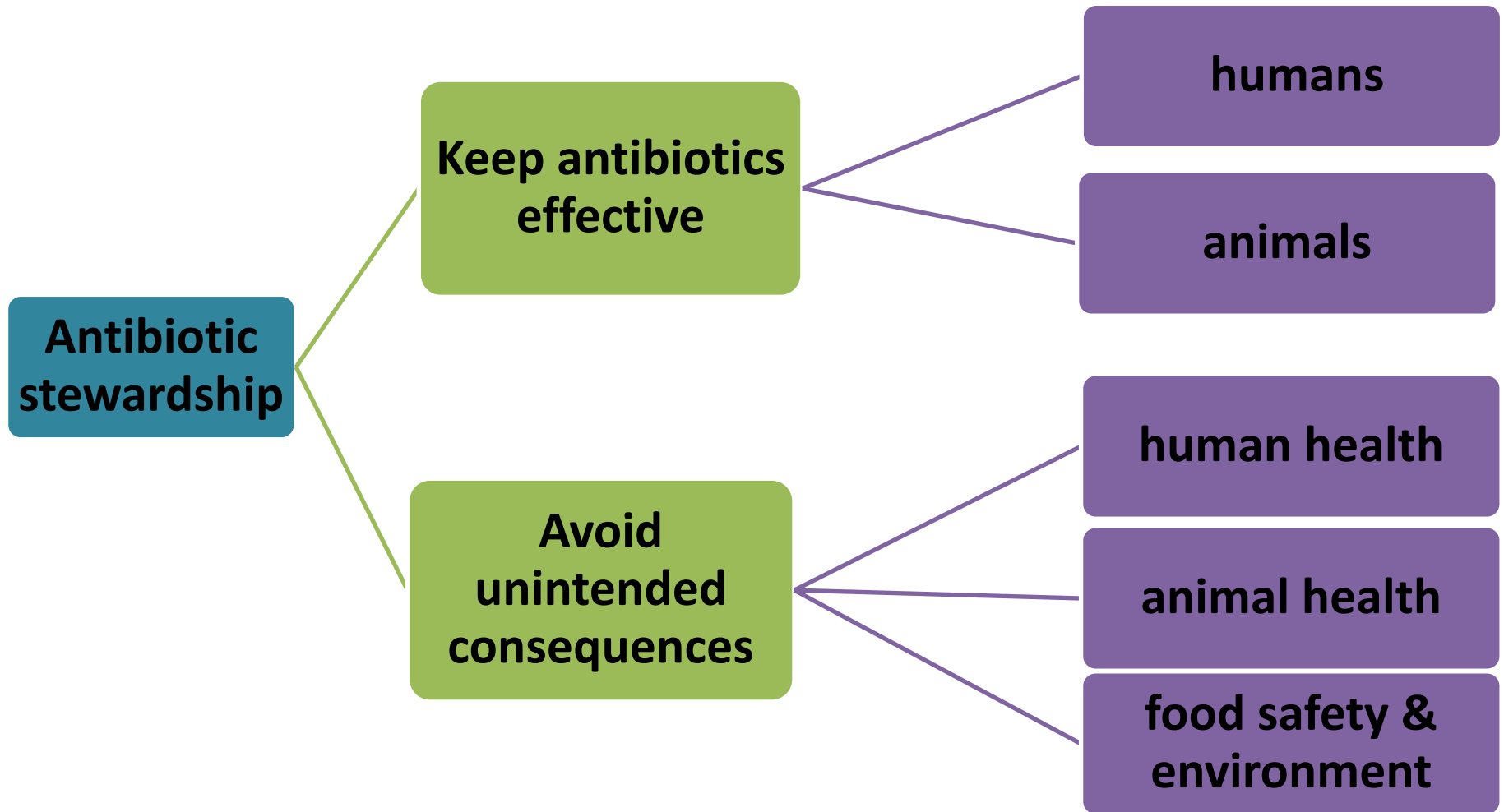


- **The role of behavior research in stewardship**



- **The way forward**

# Stewardship = careful & responsible management of resources



# The need for antibiotic stewardship: Human health perspective

**63%** of infectious disease doctors have treated patients with infections that **did not respond to any antibiotics.**



**2 million Americans**

acquire **serious infections** caused by antibiotic-resistant bacteria each year. **23,000 people die** each year as a direct result of these infections.

Antibiotic use is associated with adverse patient outcomes, such as *Clostridium difficile* infections, which cause at least

**14,000 deaths**

in the United States each year.

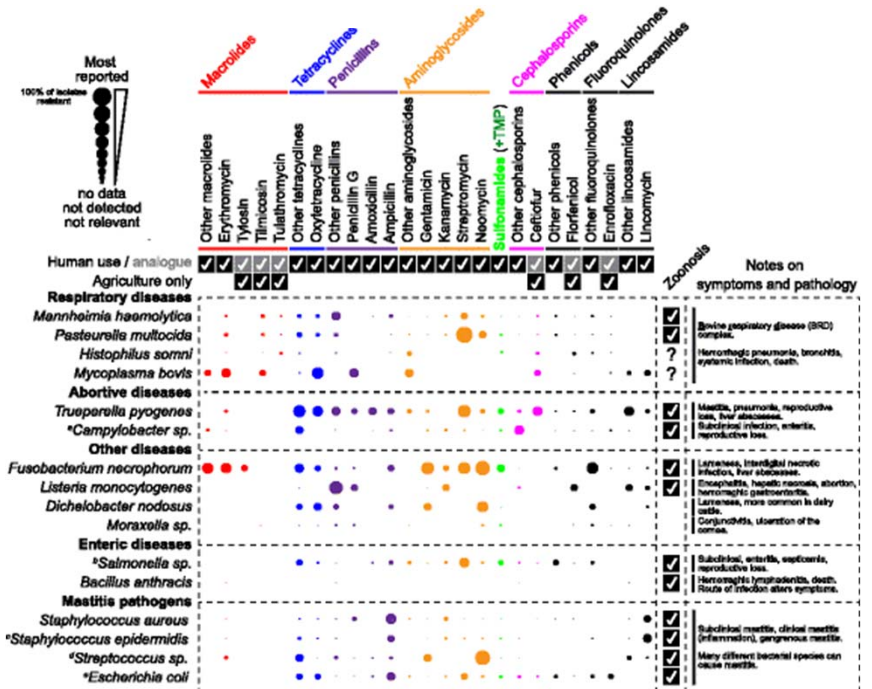
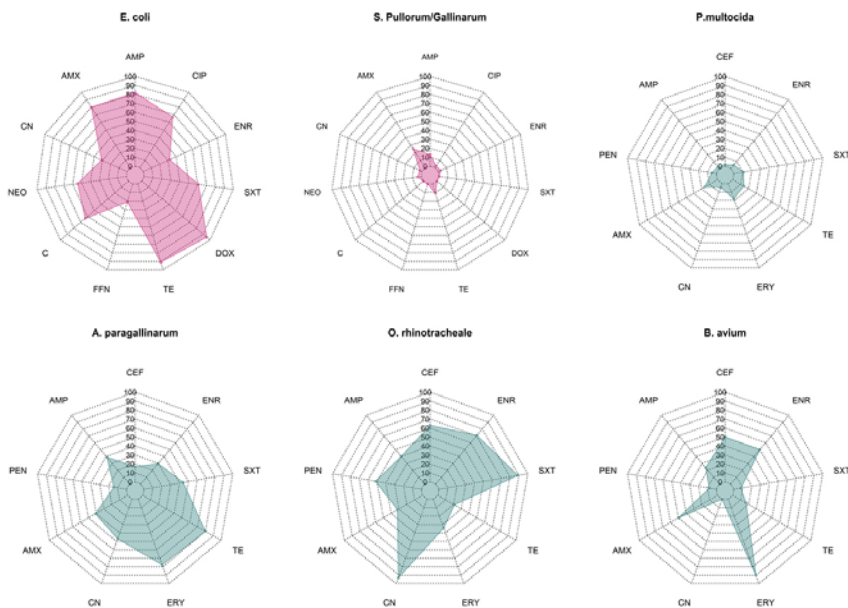
Source

[http://www.pewtrusts.org/~media/assets/2015/02/antibioticcoveruseinfographic\\_artfinal\\_v5.pdf?la=en](http://www.pewtrusts.org/~media/assets/2015/02/antibioticcoveruseinfographic_artfinal_v5.pdf?la=en)

# The need for antibiotic stewardship: Animal health perspectives

## Antibiotic resistance in poultry disease pathogens

## Antibiotic resistance in cattle disease pathogens



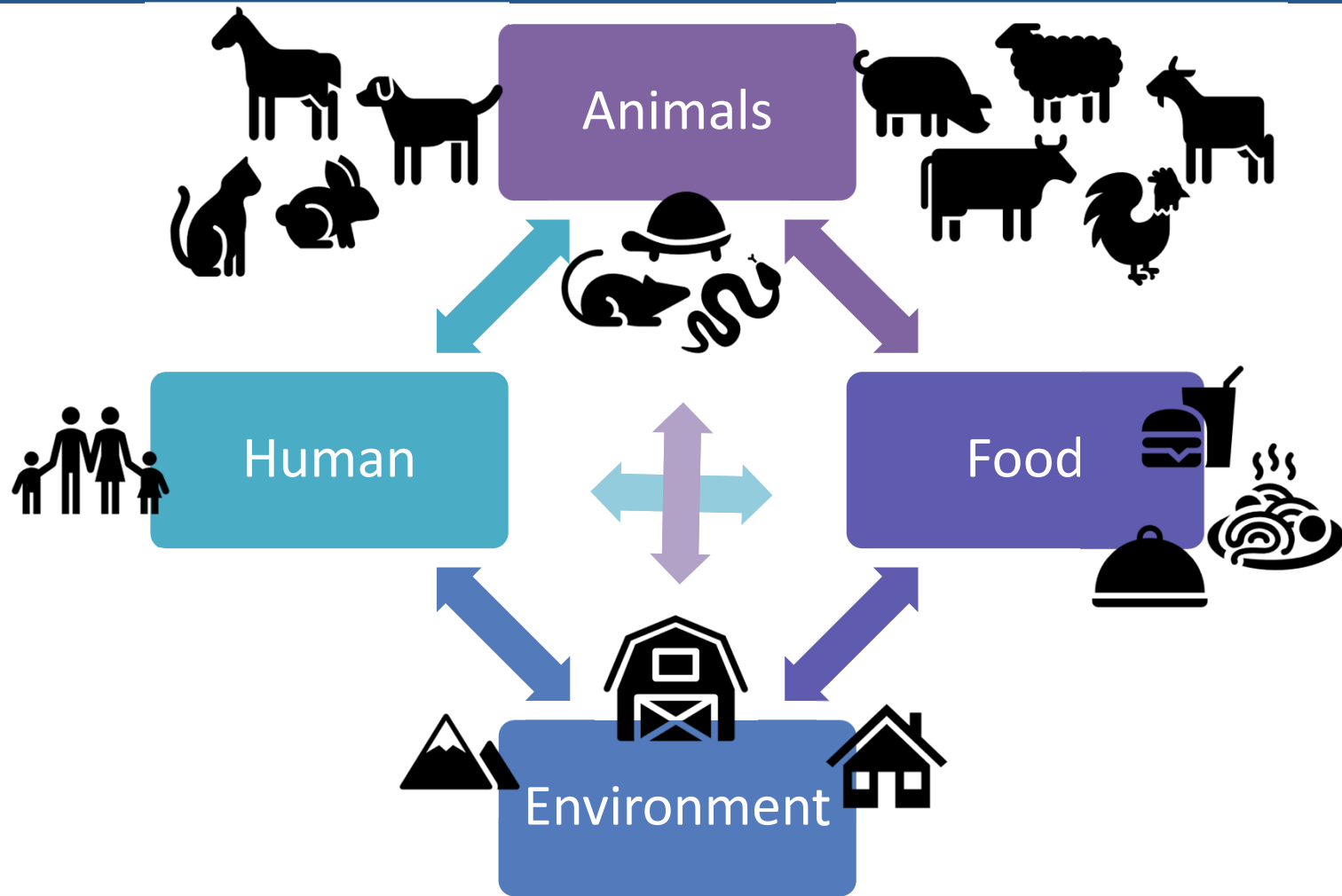
**Source:**

Thi Nhug, N., Chansiripornachi, N., Carrique-Mas, J.J., Front. Vet. Sci. 2017. Antimicrobial Resistance in Bacterial Poultry Pathogens: A review. Available at <https://www.frontiersin.org/articles/10.3389/fvets.2017.00126/full>

**Source:**

Cameron, A., McAllister, T.A., 2016. Antimicrobial usage and resistance in beef production. *Journal of Animal Science and Biotechnology* 7, 68.

# The need for antibiotic stewardship: One health perspective



# Private sector policy : Defining core components of stewardship

	Foundation	Implementation	Evaluation
	<i>Know what to do, why, and communicate actions</i>	<i>Implement foundation of knowledge</i>	<i>Continuous Assessment of stewardship practices</i>
<b>1. Commitment and culture</b>	Know about antibiotic stewardship and why it is needed	Caregivers understand and embrace their role in stewardship	Demonstrate commitment to stewardship
<b>2. Veterinarian guidance and partnership</b>	Understand VCPR and its importance	Have a valid VCPR and animal health plan in place	Demonstrate that veterinarian has an appropriate oversight role
<b>3. Disease prevention strategies</b>	Know spectrum of options to prevent diseases	Implement appropriate husbandry, biosecurity and other prevention practices	Demonstrate protocols and prevention practices
<b>4. Optimal treatment approaches</b>	Know how to recognize signs of disease and when and how to seek veterinary engagement	Have sound diagnostic treatment practices and protocols in place	Demonstrate that disease treatment outcomes are monitored
<b>5. Record Keeping</b>	Understand importance and value of accurate record keeping	Have appropriate record keeping systems in place	Demonstrate actionable record keeping

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- **The way forward**

# The need for antibiotic alternatives is growing & not fully met

## Alternatives for priority diseases of key importance

- Few diseases drive most antibiotic use
- Commercial vaccines available for many of the key priority diseases
- Many of the vaccines have several limitations
- Other promising alternatives exist but require further research

[http://www.pewtrusts.org/~media/assets/2017/07/alternatives\\_to\\_antibiotics\\_in\\_animal\\_agriculture.pdf](http://www.pewtrusts.org/~media/assets/2017/07/alternatives_to_antibiotics_in_animal_agriculture.pdf)











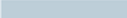
	Priority diseases for broiler chickens <sup>2</sup>			Disease-specific vaccines <sup>1</sup>			Other promising alternative approaches requiring more research <sup>3</sup>
	Disease	Agent	Antibiotic use	Commercial availability	Major constraints	R&D priority	
Enteric diseases	Necrotic enteritis	Bacterial toxin	High	Yes	<ul style="list-style-type: none"> <li>• Short-lasting and limited immunity</li> <li>• Application inconvenient, no mass application</li> </ul>	High	<ul style="list-style-type: none"> <li>• Phytochemicals</li> <li>• Prebiotics and probiotics</li> <li>• Immune modulators (e.g., egg yolk antibodies)</li> <li>• Antimicrobial peptides</li> <li>• Substances that bind the bacterial toxin (e.g., clays)</li> <li>• Bacteriophages</li> </ul>
	Coccidiosis	Parasite, antibiotic use for secondary bacterial infection	High	Yes	<ul style="list-style-type: none"> <li>• No cross-protection across strains</li> <li>• Current vaccines can cause disease</li> </ul>	High	<ul style="list-style-type: none"> <li>• Essential oils</li> <li>• Other phytochemicals (e.g., saponins)</li> </ul>
	Infectious bronchitis	Virus, antibiotic use for secondary bacterial infection	Medium	Yes	<ul style="list-style-type: none"> <li>• Protection across strains suboptimal</li> <li>• Virus mutates rapidly</li> </ul>	Medium	
Generalized infection	<i>Escherichia coli</i>	Bacterium, infection possibly secondary to other diseases (e.g., yolk sac infection)	High	Yes	<ul style="list-style-type: none"> <li>• Protection across strains suboptimal</li> <li>• No vaccine for some primary conditions that predispose for secondary <i>Escherichia coli</i></li> </ul>	High	

# Developing antibiotic alternatives poses scientific challenges

## Alternatives often more complex than antibiotics

- Heterogeneous group of products
- Include large molecules & complex mixtures of living organisms
- Mechanism of action varies & often poorly understood
- Use of multiple products together, with largely unknown & hard-to-predict results

[http://www.pewtrusts.org/~media/assets/2017/07/alternatives\\_to\\_antibiotics\\_in\\_animal\\_agriculture.pdf](http://www.pewtrusts.org/~media/assets/2017/07/alternatives_to_antibiotics_in_animal_agriculture.pdf)

	Product type	Mechanism of action	Timing of administration		
			Prevention long before infection <sup>†</sup>	Prevention shortly before infection	Treatment after infection <sup>†</sup>
	Hydrolases <sup>‡</sup> Bacteriophages <sup>‡</sup>	Targets bacteria		Narrow window around initial infection 	
	Phytochemicals <sup>§</sup>	Targets bacteria	Can be applied continuously 		
	Antimicrobial peptides <sup>*</sup>	Targets bacteria		Narrow window around initial infection 	
	Organic acids <sup>**</sup>	Targets bacteria	Can be applied continuously 		
	Probiotics <sup>††</sup>	Improves gut health	Can be applied continuously 		
	Prebiotics <sup>††</sup>	Improves gut health	Can be applied continuously 		
	Immune modulators <sup>‡‡</sup>	Stimulates or enhances host immune response		Narrow window before infection 	
	Vaccines <sup>§§</sup>	Primes host immune response	Applied before infection 		

# Several product types hold promise as antibiotic alternatives

## Current options for growth promotion & prevention

- More products have shown efficacy for growth promotion & disease prevention than for treatment
- Currently more products exist with proven efficacy for chicken than for other species
- Alternatives often have a narrower spectrum of action & lower efficacy than traditional antibiotics
- Efficacy often varies across trials for largely unknown reasons

[http://www.pewtrusts.org/~media/assets/2017/07/alternatives\\_to\\_antibiotics\\_in\\_animal\\_agriculture.pdf](http://www.pewtrusts.org/~media/assets/2017/07/alternatives_to_antibiotics_in_animal_agriculture.pdf)

	Cattle			Swine	Chicken <sup>1</sup>	Turkey
	Milk-fed calves	Dairy cows	Beef cattle			
Probiotics	●●	●●	●●○	●○○	●●	●●
Prebiotics	○○	●	●	○○	●●	●●
Organic acids		○○	○○	●○	●●	○○
In-feed enzymes		●	●	●○	●●	●
Antimicrobial peptides	○○	○○○ <sup>2</sup>	○	○○	○○	
Phytochemicals (e.g., essential oils)	○○○	○	○	○○	●○	○○
Copper, zinc, and other heavy metals	● <sup>3</sup>	○○ <sup>4</sup>	●○ <sup>5</sup>	●○	●	○
Immune modulators	●	● <sup>6</sup>	●	○○○	●●	○○
Vaccines	●	●	●	●● <sup>7</sup>	●	●
Bacteriophages, endolysins, lysozyme, and other hydrolases	○	○○		○○○	○○	○○

- Growth promotion, strong scientific evidence for efficacy and commercially used
- Growth promotion, some scientific evidence suggests potential efficacy
- Disease prevention, strong scientific evidence for efficacy and commercially used
- Disease prevention, some scientific evidence suggests potential efficacy
- Disease treatment, strong scientific evidence for efficacy and commercially used
- Disease treatment, some scientific evidence suggests potential efficacy
- Evidence suggesting lack of efficacy

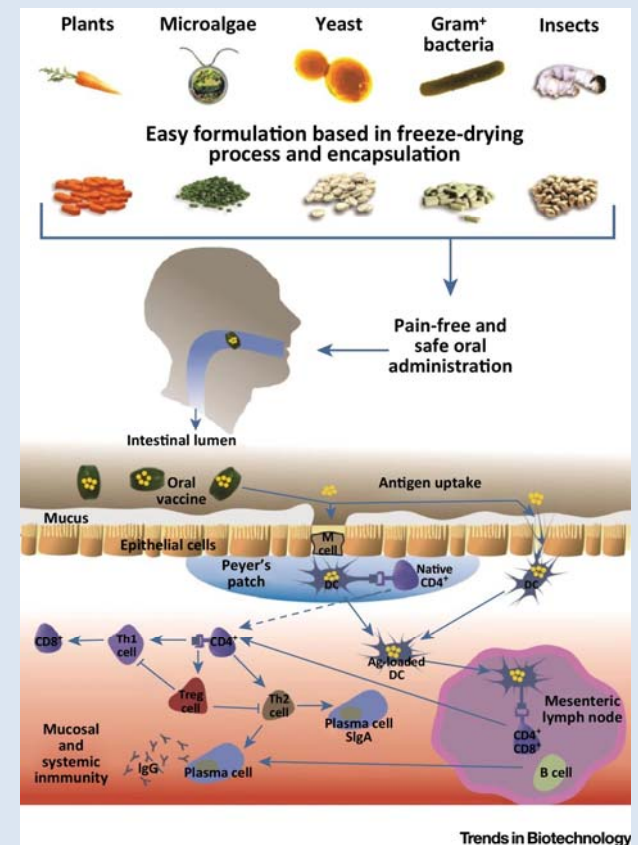
# Veterinary vaccines can become effective antibiotic alternatives

## Scientific progress in 4 key areas can make vaccines effective antibiotic alternatives

- **Safety improvements** (e.g., vectored vaccines & new adjuvants) to minimize unintended consequences
- **Efficacy improvements** (e.g., combination/recombinant vaccines & protocol optimization) to generate robust & durable protection against broad range of pathogens (including in very young animals)
- **Easier administration** (e.g., new oral vaccination strategies & increased stability) to permit easy mass vaccination
- **Cost reductions** to make use economically feasible and cost-effective

**Source:**

Hoelzer, K., Bielke, L., Blake, D.P., Cox, E., Cutting, S.M., et al. *Vet Res.* 2018: 49:64; and Hoelzer, K., Bielke, L., Blake, D.P., Cox, E., Cutting, S.M., et al. *Vet. Res.* 2018 49:70.



**Source:**

[https://www.cell.com/trends/biotechnology/fulltext/S0167-7799\(15\)00247-4](https://www.cell.com/trends/biotechnology/fulltext/S0167-7799(15)00247-4)

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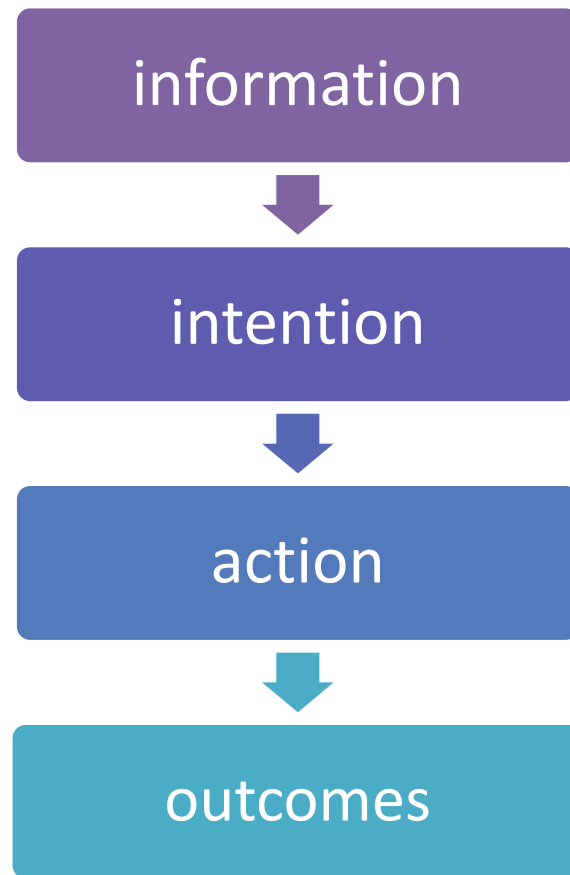
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# Implementing stewardship: 'traditional' behavior change model

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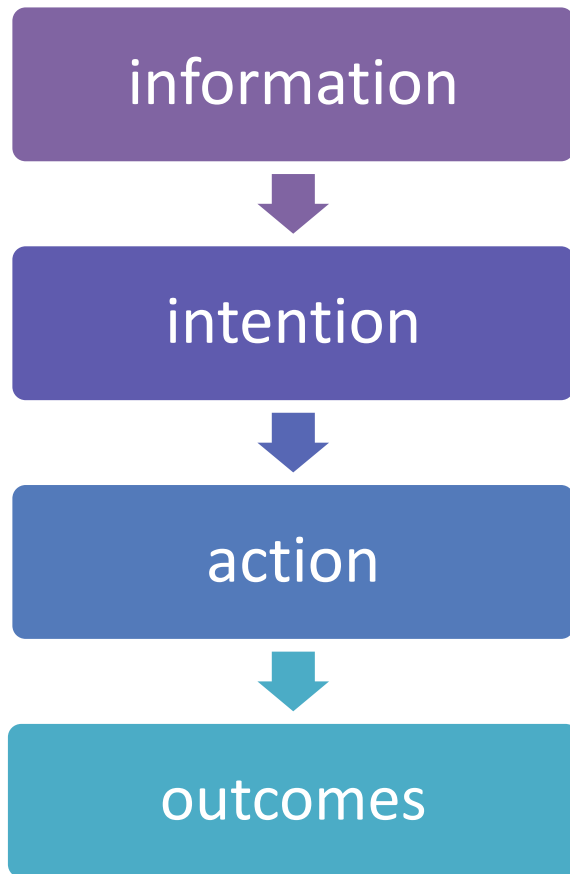
# Implementing stewardship: 'traditional' behavior change model

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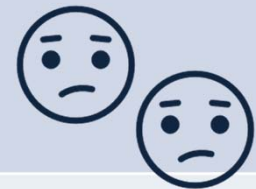
**Human behavior  
is not that simple!**

# Human behavior is more complex than the ‘traditional’ model

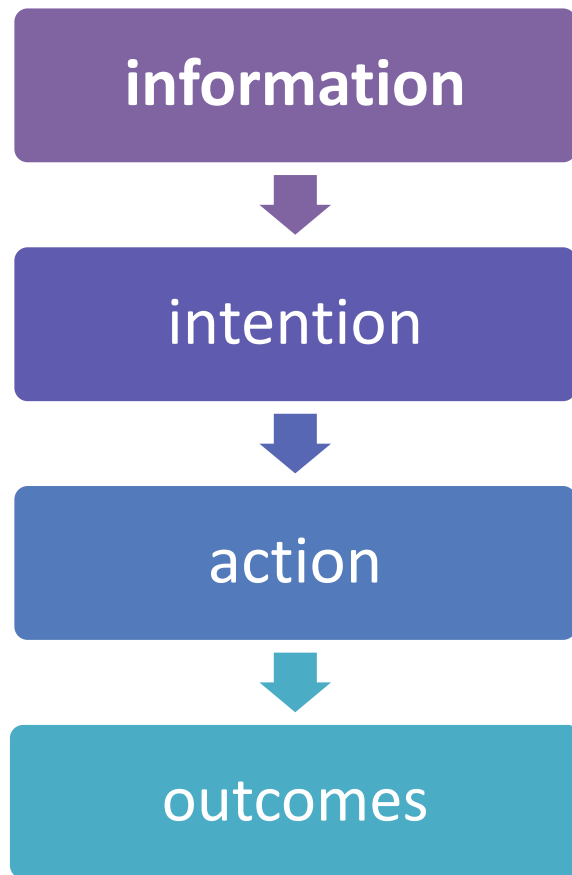


## Why behavior change may not work

- Transmission of information
  - access to information
  - effective communication
- Competing interests
- Contextual influences
  - socio-economic, situational, choice set, etc.
- Heuristics & biases in decision-making
  - cognitive biases
  - behavioral biases
  - affect, emotion and attitude
  - risk aversion & uncertainty
- Personality type and management style
- .....

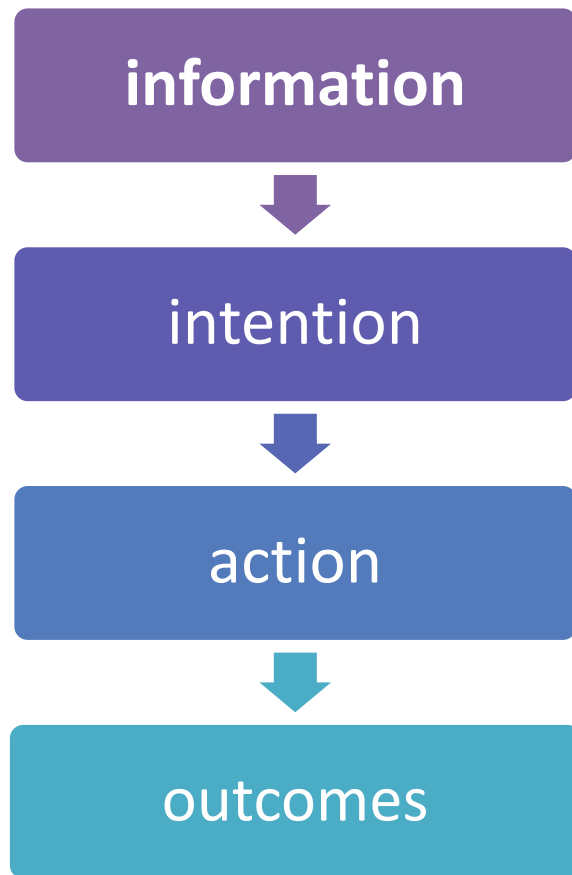


# What the literature tells us: how farmers access information



Key sources of information for farmers
• Veterinarians
• Peers & colleagues
• Other trusted farm advisors (e.g., nutritionists)
• Other authoritative sources, e.g.: <ul style="list-style-type: none"><li>- Universities</li><li>- Animal Health Organizations</li><li>- Government agencies</li></ul>
• Trade publications, other media
• Other sources

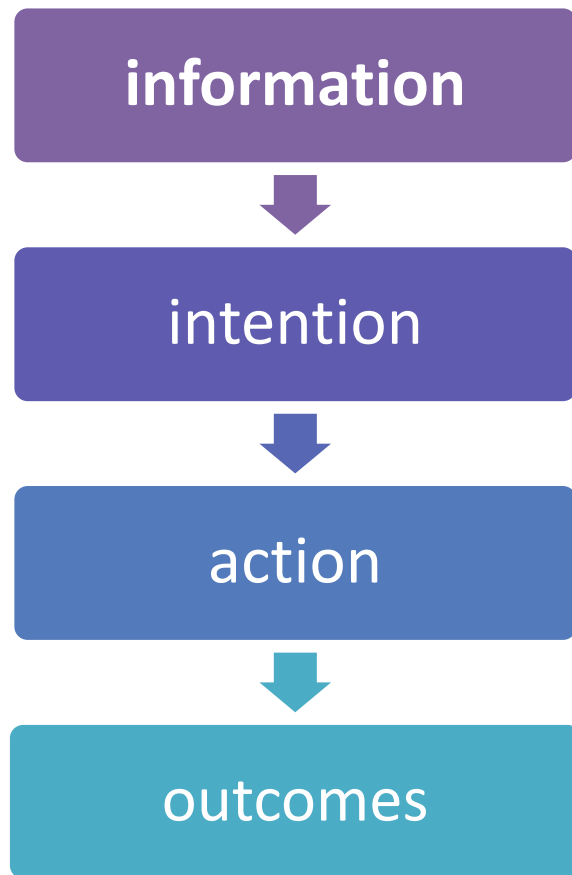
# What the literature tells us: how well veterinarians communicate



## Limitations in veterinarians' ability to advise farmers

- Understanding of farm goals, challenges & priorities
  - *Real or perceived* lack of understanding
- Communication skills
- Motivation
  - *Farmers* may lack motivation to change
  - *Veterinarians* may lack motivation to effect change
- Lack of trust in veterinary advice
  - Incongruent with farmer's experience
  - Incongruent with other advisors
- Time, tools and resources
- Lack of follow-up and follow-through
- .....

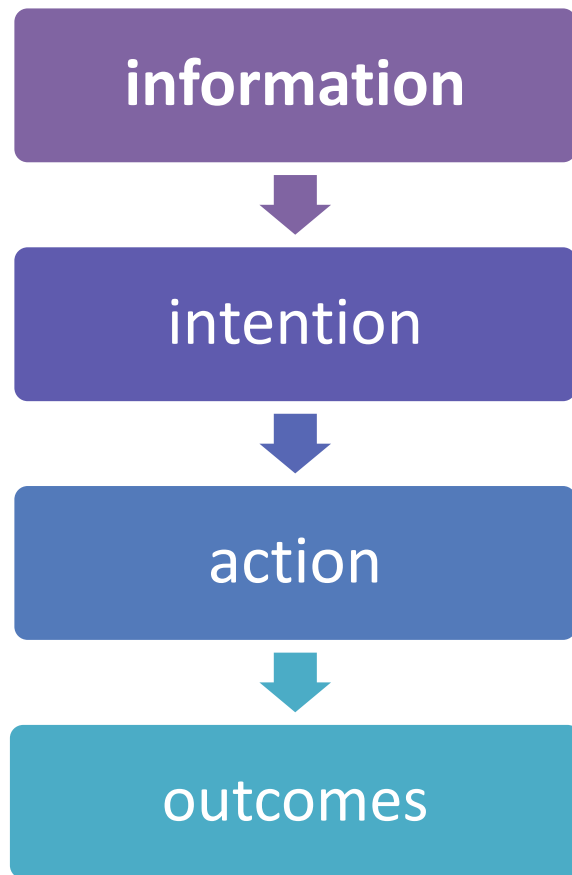
# What the literature tells us: factors that impact decision-making



## 'Competing interests' shown to impact decision-making

- *Perceived or real* demands & pressures
  - Risk of disappointing expectations
  - Risk of losing clients
  - Risk of blame if issues arise later on
  - Heightened pressure if initial treatment failed
- Ethics & values
  - Professional & personal values
  - Moral and ethical obligations to clients & animals
- Business operations
  - Economic & financial constraints
  - Logistic & time constraints
- *Perceived or real* social pressures
- .....

# What the literature tells us: heuristics & biases in decision-making



## Factors shown to influence decision-making process

- Age, years of experience & overall competency
- Overall level of confidence
- Educational background, gender, geographic region etc.
- Prior relevant experience with disease / condition
- Views of/recognition by peers & other key influencers
- Awareness of antibiotic resistance problem
- Feelings and emotions, in particular despair
- Risk perception and risk aversion
- Uncertainty (in particular about magnitude of loss)
- .....

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# Take-home message: what we do and do not know

## What we know

**Why:** stewardship is needed

**Who:** public & private partners are committed

**What:** implementation of core components

**How:** change behavior of farmers & veterinarians

## What existing research tells us

**Veterinarians and farmers** are important partners in stewardship  
Their **decision-making behavior** is not fully linear

**Various conflicts, biases & emotions** influence the decision-making process

## What we don't know

How to **effectively change the behavior** of farmers and veterinarians

Very little **behavior change research** in animal agriculture so far

## Contact me with questions & to learn more about our work

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It was on a short-cut through the hospital kitchens that Albert was first approached by a member of the Antibiotic Resistance.

Source: <http://journals.plos.org/plosbiology/article?id=10.1371/journal.pbio.0050112>